

FP6 Ex-Post Evaluation

*Introductory remarks from the
perspective of the European Commission*

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Key Points

- Context
 - Evaluation system
 - Timetable
- “The making of ...”
 - Actors
 - Supporting Evidence

FP7 Evaluation System Basics

- Multi-layer system of thematic evaluations at programme level, studies to analyse general issues and overarching evaluations at FP level
- Evaluations to be carried out by the services responsible for an activity as part of the management responsibilities
- FP7 Evaluation system spelled out in detail in the FP7 Decision and the FP7 Impact Assessment
- Open architecture to take up input from stakeholders

FP7 Evaluation System Roadmap

2008/2009	Ex-Post Evaluation FP6
2009	FP7 Progress Report
2010	Interim Evaluation of FP7
2011/2012	Ex-ante Impact Assessment FP8
2015	Ex-post Evaluation FP7

FP7 Evaluation System Roadmap in context

2008/2009	Ex-Post Evaluation FP6
2009	FP7 Progress Report Financial Perspectives
2010	Interim Evaluation of FP7 Mid-Term Review Development of FP8
2011/2012	Ex-ante Impact Assessment FP8 Adoption of FP8
2015	Ex-post Evaluation FP7

FP7 Evaluation System

FP7 Interim Evaluation 2010

- Independent evaluation through external experts
- Based on broad evidence base
- Building on the ex-post evaluation of FP6
- Perspective on possible mid-term review of FP7 and on future design of FP8

FP7 Evaluation System Progress Report 2009

- First factual analysis of FP7 implementation
- Commission communication, accompanied by a Staff Working Paper
- Focus on
 - Simplification
 - New Instruments (JTIs, 169, ...)
- To be expected in May 2009

FP7 Evaluation System Monitoring System

- Move from “ad hoc” campaigns using external experts (FP6) towards a systematic internal monitoring (FP7)
- Annual analysis based on a core set of indicators
- Information source for future FP7 evaluations
- Flexible system to develop as FP7 will become more “mature” (outputs)
- First FP7 Monitoring Report just released



A word cloud on a black background. The words are in various colors (red, blue, green, yellow) and sizes. The largest word is 'FP6' in yellow. Other large words include 'Evaluation' in blue, 'Universities' in green (written vertically), and 'Implementation' in green. Smaller words include 'Design', 'Coherence', 'Globalisation', 'IP', 'Competitiveness', 'SME', 'Innovation', 'Simplification', 'Impact', 'STREP', 'Industry', 'Infrastructure', 'International', 'JRC', 'ERA-NET', 'Assessment', 'Research', 'Gender', 'Vision', 'Excellence', 'Participation', 'Mobility', 'NoE', 'EURATOM', 'Coherence', 'NEST', and 'Design'.

FP6

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Universities

Implementation

Design

Coherence

Globalisation

IP

Competitiveness

SME

Innovation

Simplification

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FP6 Ex-post Evaluation Legal Basis and Mandate

- Actors: Expert Group; Commission Services
- Legal base: FP7 Decision
- Scope: FP6 (EC + EURATOM)
- Organisation: Coordinated approach between Commission Services for FP activities

FP6 Ex-post Evaluation

Members of the Expert Group

Ernst Rietschel (Chair), Leibniz Association, D

Erik Arnold (Rapporteur), Technopolis, UK

Antanas Cenys, Vilnius Technical University, LT

Andrew Dearing, EIRMA, UK

Irwin Feller, Penn State University, USA

Sylvie Joussaume, CNRS, F

Aris Kaloudis, NIFU-STEP, NO

Lene Lange, Copenhagen University, DK

Jerzy Langer, Academy of Sciences, PL

Victoria Ley, ANEP, SP

Riitta Mustonen, Academy of Finland, FI

Derek Pooley, UKAEA, UK

Nicoletta Stame, Rome University, IT

FP6 Ex-post Evaluation Working Mode

- 6 full meetings between July and December 2008
- Mainly “meta-evaluation”
- ... but also first hand evidence (Interviews)
- Contributions from all Expert Group members
- Rapporteur to assemble all inputs
- Collective endeavour

FP6 Ex-post Evaluation

Input: Supporting Studies

- Participation survey
- Networking Patterns
- Behavioural Additionality
- FP6 New Instruments
- Impact of FP6 on New Member States
- International Standing of FP
- Bibliometric analysis
- ...

FP6 Ex-post Evaluation

Input: Ad-Hoc Expertise

- Simplification
- European Added Value
- European Research Area
- International dimension
- Statistical Analysis
- Meta-Evaluation

FP6 Ex-post Evaluation Further Input

- Interviews
 - Commissioner Potocnik, Sir David King, Pavel Telicka, ...
- Panel Reports
 - JRC, IST, Future of NoE
- National Impact Studies
 - Finland, Sweden, Switzerland, ...
- ...



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